

2010-124 AUDIT SCOPE AND OBJECTIVES—California Department of Corrections and Rehabilitation’s (CDCR) Correctional Offender Management Profiling for Alternative Sanctions (COMPAS) Program

The audit by the Bureau of State Audits will provide independently developed and verified information related to the CDCR’s COMPAS program and would include, but not be limited to, the following:

1. Review and evaluate the laws, rules, and regulations significant to the audit objectives.
2. Review and evaluate the goals and objectives of the COMPAS program. In addition, review and assess CDCR’s current policies and procedures and any planned changes to determine whether they are facilitating the achievement of the goals and objectives of the COMPAS program.
3. Determine the cost to implement COMPAS, including the original cost estimates or budget and the actual amount spent to date. Further, to the extent possible, determine the cost to administer and maintain the COMPAS program.
4. If COMPAS is not complete and fully deployed, to the extent possible:
 - (a) Identify the budget and projected timeline to fully complete and deploy the program.
 - (b) Determine if the current contractor’s scope of work is sufficient to complete and fully deploy the program.
 - (c) Determine if the plans for the program contain a clear path for completing COMPAS that include key milestones and their respective costs and completion timelines.
 - (d) Assess whether such estimated costs and timelines are attainable.
5. To the extent possible, examine how CDCR is currently using the COMPAS program, the scope of its use, and the extent to which the program is operating as designed and meeting established goals and objectives by determining, at a minimum, the following:
 - (a) Whether all new and existing inmates have been assessed. If not, identify the timeline for assessing all inmates.
 - (b) The sources of the data recorded in COMPAS and whether the data is verified before it is entered into COMPAS. For example, determine the extent to which

information is self-reported by the inmates and whether this information is verified. Finally, determine who has access to the data in the COMPAS system.

- (c) Whether the COMPAS evaluations consistently result in the identification of educational programs at a higher level than those an inmate has successfully completed during a prior incarceration. In addition, determine the extent to which inmates are enrolled in programs identified through the risk and needs assessment performed with COMPAS.
 - (d) Whether CDCR has measured the COMPAS program's impact on any preliminary recidivism rates. If COMPAS has not been in operation long enough to measure its impact on recidivism rates, determine if CDCR is collecting the appropriate data to measure its impact on recidivism rates in the future.
6. Review and assess CDCR's plan for incorporating COMPAS into the SOMS project.